
Assessing Adhesion With Transdermal and Topical Delivery Systems for ANDAs Guidance for Industry

DRAFT GUIDANCE

This guidance document is being distributed for comment purposes only.

Comments and suggestions regarding this draft document should be submitted within 60 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to <https://www.regulations.gov>. Submit written comments to the Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number listed in the notice of availability that publishes in the *Federal Register*.

For questions regarding this draft document, contact (CDER) Melissa Mannion at 301-796-2747.

**U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)**

**April 2023
Generic Drugs**

Revision 2

Assessing Adhesion With Transdermal and Topical Delivery Systems for ANDAs Guidance for Industry

Additional copies are available from:

*Office of Communications, Division of Drug Information
Center for Drug Evaluation and Research
Food and Drug Administration
10001 New Hampshire Ave., Hillandale Bldg., 4th Floor
Silver Spring, MD 20993-0002*

Phone: 855-543-3784 or 301-796-3400; Fax: 301-431-6353

Email: druginfo@fda.hhs.gov

<https://www.fda.gov/drugs/guidance-compliance-regulatory-information/guidances-drugs>

**U.S. Department of Health and Human Services
Food and Drug Administration
Center for Drug Evaluation and Research (CDER)**

**April 2023
Generic Drugs**

Revision 2

Contains Nonbinding Recommendations

Draft — Not for Implementation

TABLE OF CONTENTS

I.	INTRODUCTION.....	1
II.	BACKGROUND	3
III.	EVALUATION OF ADHESION.....	3
A.	Study Design and Conduct.....	3
B.	Considerations for Statistical Analysis	8
IV.	COMBINED EVALUATION OF ADHESION AND BIOEQUIVALENCE	9
V.	FORMAT OF DATA SUBMISSION.....	10

Contains Nonbinding Recommendations

Draft — Not for Implementation

1 **Assessing Adhesion With Transdermal**
2 **and Topical Delivery Systems for ANDAs**
3 **Guidance for Industry¹**
4
5

6
7 This draft guidance, when finalized, will represent the current thinking of the Food and Drug
8 Administration (FDA or Agency) on this topic. It does not establish any rights for any person and is not
9 binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the
10 applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible
11 for this guidance as listed on the title page.
12

13
14
15 **I. INTRODUCTION**
16

17 This guidance provides recommendations for the design and conduct of studies evaluating the
18 adhesion performance of a transdermal or topical delivery system (collectively referred to as
19 TDS²). The recommendations in this guidance relate to studies submitted in support of an
20 abbreviated new drug application (ANDA).³ Depending on the objectives of a generic TDS
21 product development program, applicants may choose to evaluate TDS adhesion in studies
22 performed to evaluate TDS adhesion only, or in studies performed with a combined purpose
23 (e.g., for the simultaneous evaluation of adhesion and bioequivalence (BE) with pharmacokinetic
24 (PK) endpoints).
25

26 In this guidance, the letter *T* (representing *Test*) refers to proposed generic products that are the
27 subject of an ANDA, and the letter *R* (representing *Reference*) refers to a reference listed drug
28 (RLD) and/or reference standard product.

¹ This guidance has been prepared by the Office of Research and Standards in the Office of Generic Drugs in the Center for Drug Evaluation and Research (CDER) in cooperation with CDER's Office of New Drugs and Office of Pharmaceutical Quality at the Food and Drug Administration.

² The abbreviation *TDS* refers to both transdermal delivery systems and topical delivery systems and includes products that may be described elsewhere or known as *patches*, *topical patches*, or *extended release films*.

³ The recommendations for studies characterizing TDS adhesion in a new drug application or a supplemental new drug application may be different from those submitted in support of an ANDA and may involve the assessment of different ages and strengths of the TDS product, potentially dosed to different anatomical sites. Also, the design, conduct, and assessment of TDS adhesion in studies supporting a new drug application are inherently different because TDS adhesion in that context is not typically evaluated in relation to a reference product. See the draft guidance for industry *Assessment of Adhesion for Topical and Transdermal Systems Submitted in New Drug Applications* (July 2021) for further details. When final, this guidance will represent FDA's current thinking on this topic. We update guidances periodically. For the most recent version of a guidance, check the FDA guidance web page at <https://www.fda.gov/regulatory-information/search-fdaguidance-documents>.

Contains Nonbinding Recommendations

Draft — Not for Implementation

29 FDA recommends that applicants consult this guidance in conjunction with any relevant product-
30 specific guidances⁴ and in conjunction with any relevant guidances for industry,⁵ when
31 considering the design and conduct of studies that may be appropriate to support the BE of a
32 proposed generic TDS product to its RLD. FDA also recommends that applicants routinely refer
33 to FDA’s website,⁶ because additional guidances may become available that could assist in the
34 development of a generic TDS product.

35
36 FDA encourages an applicant, who seeks to use an alternative approach to FDA’s
37 recommendations in the relevant product-specific guidance for the design and conduct of studies
38 evaluating the adhesion performance of a TDS, to contact the Agency to discuss the proposed
39 alternative approach to evaluate adhesion performance for that particular drug product.⁷

40
41 This guidance revises the draft guidance for industry *Assessing Adhesion With Transdermal and*
42 *Topical Delivery Systems for ANDAs* issued in October 2018. This revision clarifies the
43 appropriate methods for measuring the estimated percentage of the entire TDS surface area
44 adhered to the skin and the statistical analysis of that data. Applicants are also encouraged to
45 explore the use of alternative scales (other than the five-point adhesion scale described in section
46 III.A., Study Design and Conduct) to estimate adhesion of the TDS to the skin and to discuss
47 these alternative scales with FDA in a pre-ANDA meeting.⁸ Additionally, this revision clarifies
48 that use of photographic evidence is not intended for automated or photometric analysis at this
49 time but can be used to support the visual observation of percent adhesion reported at each time
50 point.

51
52 In general, FDA’s guidance documents do not establish legally enforceable responsibilities.
53 Instead, guidances describe the Agency’s current thinking on a topic and should be viewed only
54 as recommendations, unless specific regulatory or statutory requirements are cited. The use of
55 the word *should* in Agency guidance means that something is suggested or recommended, but
56 not required.

57

⁴ Generic drug product-specific guidances are available at the Product-Specific Guidances for Generic Drug Development web page at <https://www.fda.gov/drugs/guidances-drugs/product-specific-guidances-generic-drug-development>.

⁵ For example, relevant guidances include the draft guidances for industry *Assessing the Irritation and Sensitization Potential of Transdermal and Topical Delivery Systems for ANDAs* (April 2023) and *Transdermal and Topical Delivery Systems — Product Development and Quality Considerations* (November 2019). When final, these guidances will represent the FDA’s current thinking on these topics.

⁶ For newly posted draft guidances, or the most recent version of a guidance, check the FDA Drugs guidance web page at <https://www.fda.gov/regulatory-information/search-fda-guidance-documents>.

⁷ See Manual of Policies and Procedures (MAPP) 5220.8 *Evaluating Requests for and Conducting Product Development and Pre-Submission Pre-ANDA Meetings* <https://www.fda.gov/media/130874/download>. See also the guidance for industry *Controlled Correspondence Related to Generic Drug Development* (December 2020) and the guidance for industry *Formal Meetings Between FDA and ANDA Applicants of Complex Products Under GDUFA* (October 2022) for additional information on how to obtain Agency feedback on the development of a specific drug product.

⁸ See footnote 7.

Contains Nonbinding Recommendations

Draft — Not for Implementation

58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103

II. BACKGROUND

The amount of drug delivered by a TDS into and through the patient’s skin is dependent, in part, on the surface area dosed. The entire contact surface area of a TDS should remain consistently and uniformly adhered to the patient’s skin throughout the duration of wear under the conditions of use included in the RLD labeling. When a TDS loses its adhesion during wear, the amount of drug delivered to the patient may be reduced.

During the RLD’s labeled wear period, a TDS is reasonably expected to encounter torsional strains arising from body movements; changes in environmental temperature or humidity such as the daily exposure to water (e.g., during routine showering); and contact with clothing, bedding, or other surfaces. TDS products that do not maintain consistent and uniform adhesion with the skin during the RLD labeled wear period can experience varying degrees of TDS detachment, including complete detachment, at different times during the product wear.

When the adhesion characteristics of a TDS are not sufficiently robust, as evaluated against the RLD’s labeled conditions of use, the TDS may exhibit variability in the surface area that is in contact with the skin. For example, when a TDS is partially detached, there may be uncertainty about the resulting drug delivery profile and, hence, uncertainty about the rate and extent of drug absorption from the TDS, potentially affecting FDA’s evaluation of bioequivalence for a proposed generic drug product. When the potential for complete detachment of the TDS increases, the risk of unintentional exposure of the drug product to an unintended recipient (e.g., a household member who may be a child) also increases.

III. EVALUATION OF ADHESION

A. Study Design and Conduct

In general, the Agency recommends that applicants design their adhesion studies to support a comparative evaluation of the adhesion characteristics of the T and R TDS.

FDA recommends that applicants use a single-dose, randomized, two-treatment, two-period crossover study design where all subjects are dosed with the same strength of the T and R TDS. However, FDA may also consider the acceptability of a study using a single-period, two-treatment-per-subject design (e.g., a matched pairs study), with the site of application randomized, if applicants appropriately justify such a study design. The population for the TDS adhesion study should typically be the same as the population enrolled, or recommended for enrollment, in the PK BE study for the product and should typically include healthy males and non-pregnant, non-lactating females unless product-specific considerations indicate otherwise.

Applicants should randomize subjects to receive either the T or R TDS product in a given study period. When possible, the TDS administered in the second study period should be applied to the same anatomical site as in the first study period, but on the contralateral side of the body.

Contains Nonbinding Recommendations

Draft — Not for Implementation

104 Because alterations in the product design, the active or inactive ingredients, the backing
105 membrane, or the manufacturing process can affect the adhesion properties of a TDS, the study
106 should use the to-be-marketed TDS product. Postapproval changes to the TDS may necessitate
107 confirmation that product quality attributes related to adhesion remain consistent with the
108 product quality attributes characterized for the TDS product that demonstrated acceptable
109 adhesion in the original ANDA approval.

110
111 Unless otherwise justified, when conducting an adhesion study, applicants should use the
112 specific size/strength of the TDS that is recommended in the applicable product-specific
113 guidance. A larger TDS may be more sensitive to detachment than a smaller one because the
114 larger TDS may be subjected to greater conformational or torsional strains arising from
115 potentially increased anatomical curvatures or from a greater magnitude of flexion across
116 relatively greater anatomical distances across which the larger TDS may be adhered. It may also
117 be possible for applicants to assess an adhesion score more precisely with a larger TDS than with
118 a smaller one. Applicants should not use an overlay or a cover for blinding because the overlay
119 or cover may affect the product's performance.

120
121 Applicants should evaluate the adhesion of each TDS at multiple time points following
122 application of the TDS to provide sufficient temporal resolution to adequately compare the
123 adhesion characteristics of the T and the R TDS throughout the duration of wear. For example,
124 the adhesion of a TDS with a 7-day wear period should be assessed at least daily and at equally
125 spaced time points (e.g., 24 hours (hrs), 48 hrs, 72 hrs, 96 hrs, 120 hrs, 144 hrs, and 168 hrs); the
126 adhesion of a TDS with 72-hour wear period should be assessed at least every 12 hours (e.g., at
127 12 hrs, 24 hrs, 36 hrs, 48 hrs, 60 hrs, and 72 hrs); the adhesion of a TDS with a wear period
128 between 12 and 24 hours should be assessed at least every 4 hours; and the adhesion of a TDS
129 with a wear period of less than 12 hours should be assessed at least hourly.

130
131 In addition, applicants should typically distribute these time points in a uniform manner, equally
132 spaced throughout the entire RLD's labeled wear period because the mean adhesion score that is
133 calculated from the individual assessments is intended to be representative of the entire wear
134 period. For some TDS, adhesion during the earlier period of wear may be better than during the
135 later period of wear; therefore, a greater number of adhesion assessments early in the TDS wear
136 period may (1) disproportionately weight the calculation of the mean adhesion score by over-
137 representing the adhesion assessments during the initial period when TDS adhesion might be
138 relatively better and (2) inappropriately decrease the mean adhesion score in a manner that is not
139 representative of the entire wear duration for that TDS. Applicants should calculate the mean
140 adhesion score according to the recommendations described later on in this section.

141
142 When recording measurements of TDS adhesion, applicants may use appropriate methods (e.g., a
143 trained visual assessment and/or dot matrix templates) and alternative scales (other than the five-
144 point adhesion scale described below) to estimate the percentage of the entire TDS surface area
145 that is adhered to the skin. If applicants use a scale different from the five-point adhesion scale
146 described below to record TDS adhesion measurements, they should report each TDS adhesion
147 measurement as both the score according to the selected scale, as well as the corresponding score
148 according to the five-point adhesion scale. For example, if the observer scores the TDS adhesion
149 as a two on the five-point scale and estimates that the product appears to be 60 percent adhered, a

Contains Nonbinding Recommendations

Draft — Not for Implementation

150 score of two and the estimate of 60 percent should both be reported for that time point.
151 Information and/or analyses based upon scores from the alternative scale may be considered,
152 provided that the use of the alternative scale is justified, and that information is submitted with
153 the study to demonstrate that the scale has been adequately qualified.

154
155 For the comparative assessment of adhesion (i.e., for the noninferiority (NI) test described in
156 section III.B.), applicants should use the following five-point adhesion scale, in which each score
157 corresponds to a specified range of adhered surface area for the TDS:

Scale for Scoring the Comparative Assessment of Adhesion

Score	Range of Adhered Surface Area for the TDS
0	≥ 90% adhered (i.e., the TDS has essentially no lift off the skin)
1	≥ 75% to < 90% adhered (e.g., only some edges of the TDS lift off the skin)
2	≥ 50% to < 75% adhered (i.e., less than half of the TDS lifts off the skin)
3	> 0% to < 50% adhered (i.e., the TDS is not detached, but more than half of it lifts off the skin without falling off)
4	0% adhered (i.e., the TDS is detached and is completely off the skin)

160
161 At each adhesion assessment time point, applicants should also record photographic evidence
162 showing the extent of TDS adhesion to the skin. Because percent adhesion can span a range and
163 yet be classified as a single score, the photographic evidence can be used to support the visual
164 observation of the percent adhesion reported at each time point but is not intended to be used for
165 automated or photometric analysis at this time.

166
167 With each consecutive TDS adhesion measurement at each time point, applicants should record
168 the score based upon the actual measurement of TDS adhesion at that time point (not carrying
169 forward a score from a previous time point), regardless of whether the score increases or
170 decreases relative to the preceding score. Successive TDS adhesion measurements should be
171 made independent of the previous measurement, with the observer blinded to the previous
172 measurement.

173
174 However, when analyzing the results for the comparative assessment of adhesion (i.e., for the NI
175 test described in section III.B.), the highest adhesion score using the five-point adhesion scale
176 described above (i.e., the score representing the greatest degree of detachment for that TDS)
177 assessed at any time point after the baseline or time₀ should be used for subsequent time points
178 until a higher score is assessed. For example, if the adhesion scores are 1, 2, 1, 3, then the
179 imputed adhesion scores would be 1, 2, 2, 3. For a TDS that completely detaches, a score of 4
180 should be assigned for any remaining assessments scheduled for that TDS across the study
181 duration.

182
183 Applicants should use the mean adhesion score, \bar{X} , as the primary endpoint for evaluating TDS
184 adhesion. For a TDS, the mean adhesion score, \bar{X} , should be derived from its individual adhesion
185 scores at each assessment time point, averaged across all the equally spaced time points (except
186 the baseline time point, t₀). Let \bar{x} denote the observed mean adhesion score for a TDS across n
187 equally spaced time points after the baseline. It can be calculated as follows:

Contains Nonbinding Recommendations

Draft — Not for Implementation

188

$$\bar{x} = \sum_{i=1}^n x_i/n$$

189

190 Here, \bar{x} is the observed mean adherence score for a TDS across equally spaced time points after
191 the baseline and x_i is the observed adherence score at the i^{th} measurement, or the highest observed
192 score up to the i^{th} measurement for a TDS as previously discussed.

193

194 Although the recommendation in this guidance is to distribute time points in a uniform, equally
195 spaced manner, if the data set contains scores from unequally spaced time points, a weighted
196 average \bar{X}_w , with weights corresponding to interval length, may be calculated as follows:

197

198

$$\bar{x}_w = \sum_{i=1}^n w_i x_i = \frac{\sum_{i=1}^n (t_i - t_{i-1}) x_i}{D}, \text{ where } w_i = \frac{(t_i - t_{i-1})}{D}$$

199

200 Here, \bar{x}_w is the observed weighted mean adherence score for a TDS across n unequally spaced
201 time points after the baseline; x_i is the observed adherence score at the i^{th} measurement; w_i is the
202 corresponding weight for x_i ; D is the total duration of wear; t_i is the i^{th} measurement time; and
203 t_{i-1} is the preceding $(i-1)^{\text{th}}$ measurement time. Because of the potential round-off error of
204 computer software, FDA recommends that applicants calculate the sum in the numerator first,
205 $\sum_{i=1}^n (t_i - t_{i-1}) x_i$, and then divide that sum by the total duration D .

206

207 For example, for a 24-hour-wear TDS, if an applicant measured adherence at hours 2, 4, 8, 12, and
208 24 after the baseline, the total duration of wear would be 24 hours. The coefficient $(t_i - t_{i-1})$
209 corresponding to the i^{th} measurement x_i ($i = 1, 2, 3, 4, 5$) would be (2-0), (4-2), (8-4), (12-8), and
210 (24-12), respectively. The weighted mean \bar{x}_w can be calculated by summing $\sum_{i=1}^5 (t_i - t_{i-1}) x_i$
211 first, then dividing the sum by the total duration D (i.e., in this example, 24 hours). The
212 corresponding weights for all five measurements would be $\frac{1}{12}$, $\frac{1}{12}$, $\frac{1}{6}$, $\frac{1}{6}$, and $\frac{1}{2}$, which add up to 1.

213

214 In addition to the primary endpoint, FDA recommends that applicants use the five-point adherence
215 scale described above to perform the following descriptive analyses for the evaluation of TDS
216 adherence to assess possible treatment group differences in potentially clinically meaningful
217 values or events:

218

- 219 1. Proportion of subjects with an observed adherence score ≥ 2 at any time point, compared
220 between T and R.
- 221
- 222 2. Proportion of subjects with their T mean adherence score greater than the corresponding R
223 mean adherence score by 1 or more, compared to the proportion of subjects with their R
224 mean adherence score greater than the corresponding T mean adherence score by 1 or more.
- 225
- 226 3. Time to an observed adherence score ≥ 2 compared between T and R. If there are a
227 sufficient number of events, a Kaplan Meier cumulative incidence curve can be plotted.
- 228

229

229 In addition, applicants should submit descriptive adherence score data in a frequency table
230 illustrating the number and the proportion of the T and the R TDS with each adherence score at

Contains Nonbinding Recommendations

Draft — Not for Implementation

231 each evaluation time point and across all time points. An example of such a frequency table is
232 shown below:

233

234 Frequency of Adhesion Scores for a Per-Protocol Population (Hypothetical Data)

Time Point	T Score (N=100) n (%)						R Score (N=100) n (%)					
	0	1	2	3	4	Mean	0	1	2	3	4	Mean
1	95 (95)	5 (5)	0 (0)	0 (0)	0 (0)	0.05	82 (82)	16 (16)	2 (2)	0 (0)	0 (0)	0.20
2	90 (90)	10 (10)	0 (0)	0 (0)	0 (0)	0.10	68 (68)	30 (30)	2 (2)	0 (0)	0 (0)	0.34
3	87 (87)	13 (13)	0 (0)	0 (0)	0 (0)	0.13	57 (57)	41 (41)	2 (2)	0 (0)	0 (0)	0.45
4	86 (86)	14 (14)	0 (0)	0 (0)	0 (0)	0.14	46 (46)	51 (51)	3 (3)	0 (0)	0 (0)	0.57
5	85 (85)	15 (15)	0 (0)	0 (0)	0 (0)	0.15	42 (42)	55 (55)	2 (2)	1 (1)	0 (0)	0.62
All	443 (88.6)	57 (11.4)	0 (0)	0 (0)	0 (0)	0.11	295 (59.0)	193 (38.6)	11 (2.2)	1 (0.2)	0 (0)	0.44

235

236 Applicants should note that both the T and the R TDS should be administered to study subjects
237 in the manner described by the RLD label, and TDS adhesion should be assessed throughout the
238 maximum labeled duration of wear for the RLD. In general, movement of study subjects should
239 not be restricted during the study; instead, subjects should be allowed to freely conduct normal
240 activities within the study unit and/or at home (e.g., to perform real-world activities like
241 showering) that may reasonably be expected to occur during the labeled duration of use for the
242 product. For products with a wear period of up to or greater than 24 hours, FDA recommends
243 that subjects be permitted to bathe or shower routinely during the study, in a manner consistent
244 with the labeled use of the RLD, and that the TDS should not be protected from direct exposure
245 to water during such routine activities.

246

247 Generally, applicants should use only whole, intact T and R TDS for their assessment of
248 comparative adhesion performance because altering the size or shape of the TDS may alter its
249 adhesion characteristics.

250

251 Applicants should include provisions in their study protocol to ensure that deliberate actions with
252 the intent to reapply a detached area of the TDS, to apply pressure to the TDS, or to reinforce
253 TDS adhesion with the skin (e.g., overlays) are avoided throughout the study. The study protocol
254 should include provisions to ensure that TDS detachment is not inappropriately inhibited (e.g.,
255 by the constant pressure of a chair back on the TDS).

256

257 Subjects should not apply makeup, creams, lotions, powders, or other topical products to the skin
258 area where the TDS will be placed because they could affect adhesion performance. Also, hair at

Contains Nonbinding Recommendations

Draft — Not for Implementation

259 the application site should be clipped (not shaved) before TDS application and the site should be
260 prepared in a manner consistent with the labeled use of the RLD.

261
262 Applicants should describe the method of randomization in the study protocol and provide the
263 randomization schedule as a SAS transport data set in XPT format (note that the randomization
264 in this context refers to the sequence, not the treatment). FDA recommends that an independent
265 third party generate and hold the randomization code throughout the conduct of the study to
266 minimize bias. However, it may be appropriate for applicants to generate the randomization code
267 if they are not involved in the packaging and labeling of the study medication. Applicants should
268 ensure that a sealed copy of the randomization scheme is retained at the study site, and this
269 sealed copy should be available to FDA investigators at the time of site inspection to allow for
270 verification of the treatment identity for each application site on each subject.

B. Considerations for Statistical Analysis

271
272
273 Applicants should prespecify the per-protocol (PP) population for the adherence analysis and
274 define it per TDS for each subject. The PP population for the adherence analysis should include
275 all TDS except those that were intentionally removed early in the study (e.g., because of
276 unacceptable irritation) or those that were on subjects who discontinued use of the TDS before
277 the end of the RLD's labeled duration of wear for reasons unrelated to adherence (e.g., because of
278 a protocol violation). Applicants should include individual case reports describing any subjects
279 who were excluded from the PP population, and the reasons for the subject's exclusion, in their
280 study report.

281
282 Applicants should compare the means of the per treatment group mean adherence scores (i.e., the
283 primary endpoint described above) for the T and R products. To calculate the mean adherence
284 score, applicants should carry forward the highest adherence score at each time point after the
285 baseline time point (t_0) for subsequent time points until a higher score is assessed. To
286 demonstrate adequate product adherence, applicants should show that the T product is statistically
287 noninferior to the R product based upon evaluating the difference in the T and R overall mean
288 adherence scores, with an NI margin of 0.15 ($\delta = 0.15$). The NI margin of 0.15 applies to the
289 difference of the mean adherence scores between the T and R products based on the five-point
290 adherence scale previously described; the NI margin of 0.15 does not apply to the difference of
291 the mean adherence scores based on other adherence scales or non-location-based data
292 transformations (e.g., a logarithmic transformation) or the difference of median adherence scores
293 between T and R.

294
295 Applicants should test the following hypotheses at the significance level of 0.05:

$$\begin{aligned} H_0: \mu_T - \mu_R &\geq \delta \\ H_1: \mu_T - \mu_R &< \delta \end{aligned}$$

296
297
298
299
300 Here, μ_T and μ_R are the population means for the mean adherence score for the T and R products,
301 respectively, and the alternative hypothesis H_1 represents the NI of the T product's adherence
302 relative to the R product's adherence. These hypotheses correspond to the following:
303
304

Contains Nonbinding Recommendations

Draft — Not for Implementation

$$H_0: \mu_D \geq \delta$$

$$H_1: \mu_D < \delta$$

where μ_D is the equal to the difference of the population means for the mean adhesion score for the T and R products: $\mu_D = \mu_T - \mu_R$. When there is no missing data, in a crossover or matched pairs study, μ_D is the same as the population mean for the difference D_j between the paired T (\bar{X}_{jT}) and R (\bar{X}_{jR}) mean adhesion score for individual subject j ($D_j = \bar{X}_{jT} - \bar{X}_{jR}$, $E(D_j) = \mu_D$).

To demonstrate acceptable adhesion of the T product, applicants should design and conduct an adhesion study as described above and enroll a sufficient number of subjects to power the study at a level of 0.80 or higher. Because of the discrete nature of adhesion scales and other potential complications of the adhesion data, FDA recommends that applicants use a large enough sample size to ensure the validity of any large-sample (asymptotic) Gaussian assumptions, if used.

Incomplete data and data associated with noncompliance can compromise the validity of an NI study. FDA recommends good clinical study design and conduct to prevent subject dropout and noncompliance. Nonetheless, when these events occur, applicants should document the detailed reasons for these events. Although FDA recommends using the PP population as the primary analysis population for NI studies, the Agency also has significant concerns with the possibility of informative dropout and noncompliance. If applicable, applicants should prespecify imputation methods in their protocol. FDA recommends that applicants conduct a prespecified sensitivity analysis to evaluate the potential effect of any unbalanced or informative dropout and noncompliance on the conclusion of the NI in adhesion.

IV. COMBINED EVALUATION OF ADHESION AND BIOEQUIVALENCE

If applicants elect to conduct a study evaluating both the adhesion performance and the PK BE of the T and R products in a single study, this study should be conducted in a population of sufficient size to adequately power the comparative evaluation of adhesion and to include a subpopulation of subjects of sufficient size to adequately power the evaluation of BE with appropriately selected PK endpoints. Applicants should select the participants for the PK BE evaluation according to a scheme prespecified in the protocol.

The study design and conduct recommendations described in section III.A., for a study performed exclusively for the purpose of evaluating TDS adhesion, also apply to a combined study evaluating adhesion and BE with PK endpoints.

The simultaneous application of multiple T TDS or of multiple R TDS to a subject may be appropriate in a combined study of TDS adhesion and PK BE when doing so is safe and justified, for example, by the potential need for increased drug delivery to compensate for an insufficient analytical sensitivity to measure the relevant analyte(s) in the PK samples. In such cases, when multiple TDS are simultaneously applied to a subject, the adhesion performance of each and all TDS should be assessed.

Contains Nonbinding Recommendations

Draft — Not for Implementation

350 Applicants should collect and analyze PK samples from all subjects in the PK subpopulation,
351 regardless of the subjects' TDS adhesion scores, and report the sample concentrations for all
352 time points as well as the PK results for all subjects in the PK study. All TDS units that are
353 removed at the end of (or which detach during) the in vivo adhesion and/or PK BE study should
354 be retained for analysis of residual drug content.⁹
355

356 Applicants should prespecify their inclusion criteria for the statistical analysis of PK endpoints
357 and perform their primary PK analysis on the relevant population, which may be a subset of the
358 PP population. We also recommend that applicants refer to the relevant product-specific
359 guidance for FDA's recommendations on the BE criteria for PK analysis.
360

361

362

V. FORMAT OF DATA SUBMISSION

363

364 Applicants should submit study data in standardized format and refer to the FDA web page on
365 Study Data for Submission to CDER¹⁰ for more information about study data standards.
366

367

367 In addition, applicants should provide SAS transport data sets in XPT format with the define file.
368 If imputation is applied, applicants should submit both raw data and the analysis data after the
369 imputation.

⁹ See the guidance for industry *Residual Drug in Transdermal and Related Drug Delivery Systems* (August 2011) and the draft guidance for industry *Transdermal and Topical Delivery Systems — Product Development and Quality Considerations* (November 2019) (when final, this guidance will represent FDA's current thinking on this topic).

¹⁰ This web page is available at <https://www.fda.gov/drugs/electronic-regulatory-submission-and-review/study-data-submission-cder>.